

IAP12 Rec'd PCT/PTO 1 5 JUN 2007

FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

| | TRA | ANSMITTAL LETTER TO THE UNITED STATES | ATTORNEY'S DOCKET NUMBER | | | | | | |
|------|-------------------------|--|--|--|--|--|--|--|--|
| | D | ESIGNATED/ELECTED OFFICE (DO/EO/US) | 065691-0443 | | | | | | |
| | C | ONCERNING A FILING UNDER 35 U.S.C. 371 | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/579,078 | | | | | | |
| | PCT/IB20 | DNAL APPLICATION NO. INTERNATIONAL FILING DATE 11/5/2004 | PRIORITY DATE CLAIMED 11/12/2003 | | | | | | |
| | | VENTION LIPOPEPTIDES FOR ACTIVATING T LYMPHOCYTES THROUGH T | HE SKIN | | | | | | |
| | | (S) FOR DO/EO/US | | | | | | | |
| Apr | Hervé Gl olicant her | ROUX et al. rewith submits to the United States Designated/Elected Office (DO/EO | /US) the following items and other information: | | | | | | |
| 1. | | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| 2. | \boxtimes | This is a SECOND or SUBSEQUENT submission of items concerning | g a filing under 35 U.S.C. 371. | | | | | | |
| 3. | \boxtimes | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | |
| 4. | \boxtimes | The US has been elected (Article 31). | | | | | | | |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | |
| | | is attached hereto (required only if not communicated by the lu | nternational Bureau). | | | | | | |
| | | | | | | | | | |
| | | is not required, as the application was filed in the United State | s Receiving Office (RO/US) | | | | | | |
| 6. | | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | |
| 7. | | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not transmitted by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. | | | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | |
| 9. | \boxtimes | A partially executed oath or declaration of the inventors (35 U.S.C. 3 | 71(c)(4)). | | | | | | |
| 10. | | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | |
| lter | ns 11 to 2 | 20 below concern other document(s) or information included: | | | | | | | |
| 11. | | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | |
| 12. | | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. | | A preliminary amendment. | | | | | | | |
| 14. | | An Application Data Sheet under 37 CFR 1.76. | | | | | | | |
| 15. | | A substitute specification. | | | | | | | |
| 16. | | A power of attorney and/or change of address letter. | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825 | | | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 20. | | Other items or information: Petition Under 37 C.F.R. § 1.47(a); States noted attachments; Two Consent of Assignee documents; and Return Consent of Assignee documents and Return Co | ment in Support there of by Jacques Warcoin and n copy of Notification of Missing Requirements. | | | | | | |

| | u.s. application 10/579,078 | 3 | | | INTERNATIONAL APPLICATION PCT/IB2004/003882 | NO. | | | S DOCKET NUMBER 1-0443 | | |
|---|--|---|--------------------------|-------------|---|--------------------|--------------|----------|---------------------------|---|--|
| | The | The following fees have been submitted: | | | | | | | | | |
| | 21. A Basic national fee \$300 | | | | | | 300 | \$ | 300.00 | | |
| 22. Examination fee If International preliminary examination report provisions of PCT Article 33(1)-(4) All other situations | | | ort prepared by USPTO ar | \$ | ns satisfy 100 200 | \$ | 200.00 | | | | |
| | | earch fe | e | • | | <u>~</u> | | 1 | | | |
| | Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 | | | | | | | | | | |
| | International S | Search F | eport prepa | red and | provided to the Office | \$4 | 400 | | , | | |
| | All other situat | tions | | | • | \$ | 500 | \$ | 400.00 | | |
| | | TO | TAL OF AB | OVE 21, | 22 and 23 = | | | \$ | 900.00 | | |
| | Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | | |
| | | | RATE | 1 | | | | | | | |
| | 38 - 100 = | 0 | /50 = | | 0 | | x \$250.00 | \$ | 0.00 | | |
| | Surcharge of Searliest claime | | | | ath or declaration later tha 2(e)) | n 30 mon | ths from the | \$ | 0.00 | | |
| | CLAIMS | ; | NUMBER | FILED | NUMBER EXTRA | F | RATE | \$ | | | |
| | Total Clair | ns | 44 | - 20 = | 24 | x \$ | 50.00 | \$ | 1200.00 | | |
| | Independent (| Claims | 3 | - 3 = | 0 . | x\$ | 200.00 | \$ | 0.00 | | |
| | MULTIPLE DE | PENDE | NT CLAIM | (S) (if app | olicable) | +\$ | 360.00 | \$ | | | |
| | | | | | TOTAL OF ABOV | E CALCU | LATIONS = | \$ | 2100.00 | | |
| | Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. | | | | + | \$ | 0.00 | | | | |
| | SUBTOTA | | | | JBTOTAL = | \$ | 2100.00 | | | | |
| | TOTAL NATIONAL FEE (PREVIOUSLY PAID | | | | JSLY PAID) | \$ | 4200.00 | | | | |
| | Applicants hereby petition for a five month extension of time to respond to Notification of Missing Requirements mailed on November 16, 2006, and enclose a credit card payment form including the required extension fee of = | | | | | \$ | 2160.00 | · | | | |
| | Fee for enclosed Petition Under 37 C.F.R. § 1.47(a) = | | | | | 130.00 | | | | | |
| | | T | OTAL FEES | ENCLO | SED (for five month exte | nsion an | d petition)= | \$ | 2290.00 | | |
| 06/18/2007 01 FC:1255 | GFREY1 0000 | 0131 10 |)579078 2160.00 | OD. | | | | | Amount to be refunded: | | |
| OI PULICUS | | | | | | | | <u>.</u> | charged: | L | |
| | a. A check in the amount of to cover the above fees is enclosed. b. Please charge my Deposit Account No. 19-0741 in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| | c. The Commissioner is hereby authorized to charge any additional fees overpayment to Deposit Account No. 19-0741. A duplicate copy of this | | | | | | | | ed, or credit any | | |
| d. Eees are to be charge to a credit card. WARNING: Information on this form may become put information should not be included on this form. Provide credit card information and auth 2038. | | | | | | rization on PTO- | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition 1.137(a) or (b)) must be filed and granted to restore the International Application to pending | | | | | , a petition to to pending stat | revive (37 CFR us. | | | | | |
| | SEND ALL C | ORRE | SPONDE | NCE TO |) : | | SIGNATO | IRF | 014 | | |
| | Fol | ley & L | ardner Ll | _P | | | Stephen | | ebius | | |
| | | - | r Number | | 3 | | NAME | | | | |
| | 35,264 | | | | | | | | | | |
| | ŀ | | | | | | | - A T 10 | N NUMBER | | |



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1850 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/579,078 Herve Groux 065691-0443

INTERNATIONAL APPLICATION NO.

22428 FOLEY AND LARDNER LLP SUITE 500 3000 K STREET NW WASHINGTON, DC 20007

PCT/IB04/03882 I.A. FILING DATE PRIORITY DATE

CONFIRMATION NO. 1413

11/12/2003

371 FORMALITIES LETTER

OC000000021241818

11/05/2004

Date Mailed: 11/16/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/11/2006
- Copy of the International Search Report filed on 05/11/2006
- Information Disclosure Statements filed on 05/11/2006
- Oath or Declaration filed on 05/11/2006
- U.S. Basic National Fees filed on 05/11/2006
- Priority Documents filed on 05/11/2006

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application. by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - does not identify the application to which it is directed.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION. WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.





https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/579,078 | PCT/IB04/03882 | 065691-0443 |

FORM PCT/DO/EO/905 (371 Formalities Notice)